



11-5

**FILING RECEIPT**  
**PLEASE DATE STAMP AND RETURN TO US - BOX 34385**

In re patent application of:  
Liu Guo LIN

Application No: 10/023,739

Filed: December 21, 2001

For: SECURE MUTUAL  
AUTHENTICATION SYSTEM

Art Unit: 2812

Examiner: Unassigned

Atty. Docket No: 32014-177429

Customer No:



**26694**

PATENT TRADEMARK OFFICE

1. Second Preliminary Amendment
2. Response to Notice to File Corrected Application Papers
3. Submission of Substitute Drawings

VENABLE  
1201 New York Avenue, N.W.  
Suite 1000  
Washington, D.C. 20005-3917  
Telephone: (202) 962-4800  
Facsimile: (202) 962-8300

Date Filed: January 23, 2002

***Venable Filing No. 102636***



DOCKET NO.: 32014-177429

ATTORNEY/SEC: MASartori/CJSwindell/plm



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2812

Examiner: Unknown

re Patent Application of:

Applicant: Liu Guo LIN )

Appln. No.: 10/023,759 )

Filed: December 21, 2001 )

For: STRUCTURE OF SEMICONDUCTOR  
ELECTRONIC DEVICE AND METHOD  
OF MANUFACTURING THE SAME )

Atty. Docket: 32014-177429 )

SUBMISSION  
OF  
SUBSTITUTE  
DRAWINGS

26694

PATENT AND TRADEMARK  
OFFICEAssistant Commissioner for Patents  
Washington, DC 20231**ATTENTION: OFFICIAL DRAFTSPERSON**

Sir:

In response to the Notice to File Corrected Application Papers, please substitute the attached drawings of Figures 1-8 on the attached seven sheets for the original drawings in order to place the drawings in compliance with 37 C.F.R. 1.84(g). Applicant requests approval of the resized drawings.

Respectfully submitted,

Date: January 23, 2002

Michael A. Sartori, Ph.D.

Registration No. 41,289

VENABLE

P.O. Box 34385

Washington, D.C. 20043-9998

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/023,759	12/21/2001	Liu Guo Lin	32014-177429

CONFIRMATION NO. 4207

26694  
VENABLE, BAETJER, HOWARD AND CIVILETTI, LLP  
P.O. BOX 34385  
WASHINGTON, DC 20043-9998

## FORMALITIES LETTER



\*OC000000007274818\*

Date Mailed: 01/07/2002

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

**Filing Date Granted**

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

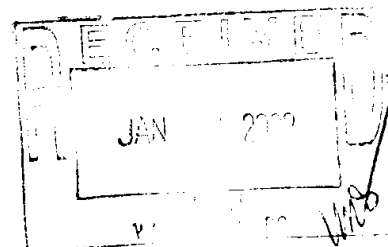
- The Claim(s) commencing on a separate sheet (37 CFR 1.75(h)).
- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

The required items noted below SHOULD be filed along with any items required above. The filing date of this nonprovisional application will be the date of receipt of the items required above.

- Total additional claim fee(s) for this application is \$168.
  - \$168 for 2 independent claims over 3.
- The balance due by applicant is \$ 168.


## DOCKETED

*Notice to File Corrected Application Papers*  
CLIENT/MATTER # 32014-177429 ATTY MAS  
DUE DATE March 7, 2002  
FINAL DEADLINE July 7, 2002  
DKTED BY [Signature]



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*A copy of this notice MUST be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



UNITED STATES  
PATENT AND  
TRADEMARK OFFICE

Commissioner for Patents  
Washington, DC 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/023,759	12/21/2001	Liu Guo Lin	32014-177429

CONFIRMATION NO. 4207

26694  
VENABLE, BAETJER, HOWARD AND CIVILETTI, LLP  
P.O. BOX 34385  
WASHINGTON, DC 20043-9998

FORMALITIES LETTER



\*OC000000009786985\*

Date Mailed: 04/07/2003

NOTICE TO FILE CORRECTED APPLICATION PAPERS

11/7/2003 10:04:12 00000000 220261 10023759

1 FI:1201 168.00 CH

*Filing Date Granted*

An application number and filing date have been accorded to this application. The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - The drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch). See Figures(s) all.
- Replacement claim(s) commencing on a separate sheet in compliance with 37 CFR 1.75(h) and 1.121 is required.

**Items Required To Avoid Processing Delays:**

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- Additional claim fees of **\$168** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.


**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$168** for a Large Entity

- Total additional claim fee(s) for this application is **\$168**
  - **\$168** for 2 independent claims over 3.

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*A copy of this notice **MUST** be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2812  
Examiner: Unknown

In re Patent Application of:

Applicant            Liu Guo LIN            )  
Application No. :    10/023,739            )  
Filed                December 21, 2001            )  
For                  STRUCTURE OF            )  
                     SEMICONDUCTOR ELECTRONIC            )  
                     DEVICE AND METHOD OF            )  
                     MANUFACTURING THE SAME            )  
Attorney Docket :    32014-177429            )

**RESPONSE TO  
NOTICE TO FILE  
CORRECTED  
APPLICATION PAPERS**

26694



PATENT AND TRADEMARK OFFICE

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Corrected Application Papers, mailed on January 7, 2002, the following are submitted:

1. A fee of \$168 for two additional independent claims in excess of three (\$84).

If a greater or lesser fee is required, please charge or credit Deposit Account Number 22-0261 accordingly and notify the undersigned.

2. A Preliminary Amendment, filed concurrently, placing the claims on a separate sheet, pursuant to 37 C.F.R. § 1.75(h).

3. A Submission of Substitute Drawings, filed concurrently, placing the drawings in compliance with 37 C.F.R. § 1.84(g).

4. A copy of the Notice to File Corrected Application Papers.

Applicant hereby respectfully requests an action on the merits at the earliest opportunity.

Respectfully submitted,

Date: January 23, 2002



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MAS/CJS  
DC2-345445





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2812  
Examiner: Unknown

In re Patent Application of:

Applicant           Liu Guo LIN

Application No. : 10/023,739

Confirmation No.: 4207

Filed               December 21, 2001

For                 STRUCTURE OF  
SEMICONDUCTOR ELECTRONIC  
DEVICE AND METHOD OF  
MANUFACTURING THE SAME

Attorney Docket : 32014-177429

**RESPONSE TO  
NOTICE TO FILE  
CORRECTED  
APPLICATION PAPERS**

26694



PATENT AND TRADEMARK OFFICE

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Corrected Application Papers, mailed on April 7, 2003, the following are submitted:

1. A copy of the January 23, 2002 date-stamped filing receipt for a Response to Notice to File Corrected Application Papers of January 7, 2002.
2. A copy of the Response to Notice to File Corrected Application Papers filed January 23, 2002, which included:
  - a. A fee of \$168 for two additional independent claims in excess of three (\$84);
  - b. A Second Preliminary Amendment, placing the claims on a separate sheet, pursuant to 37 C.F.R. § 1.75(h);

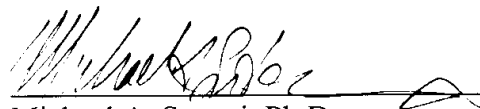
- c. A Submission of Substitute Drawings, placing the drawings in compliance with 37 C.F.R. § 1.84(g); and
- d. A copy of the Notice to File Corrected Application Papers mailed January 7, 2002.

3. A copy of the Notice to File Corrected Application Papers, mailed April 7, 2003.

Applicant hereby respectfully requests an action on the merits at the earliest opportunity. If the application papers are still considered insufficient, Applicant requests more specific information as to any deficiencies.

Respectfully submitted,

Date: April 22, 2003



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DC2-451310